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Comments on "COVIDX-Net: A Framework of Deep Learning Classifiers to Diagnose COVID-19 in X-Ray Images"

Sami Lababidi1,* 🕩

School of Engineering and Applied Science, University of Colorado, Boulder, CO 80309, USA; Sami.lababidi@colorado.edu

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1. Introduction

Abstract: In an early study by (Pan et al., 2020), the authors proposed a deep learning framework; 6 called COVIDX-Net to support radiologists in the process of automatic diagnosis of COVID-19 7 infection from X-ray images. In this comment, we argue that Pan et al. 's paper include three 8 failings that may impact the performance of models available in COVIDX-Net leading to errone-9 ous results and incorrect conclusions. First, the study lacks a clear and distinct framework, in-10 stead employing a conventional approach of training and testing a set of pre-existing deep learn-11 ing models. Second, the study lies in the utilization of a very small dataset for training complex 12 deep learning models, with no information regarding the source and annotation process. Finally, 13 the training deep learning models is inconsistent and suffer from overfitting. The study under 14 inspection represents a troubling example of (un/intended) exploitation of the urgency surround-15 ing the COVID-19 pandemic for self-serving purposes, particularly to accrue citations without 16 obeying the ethical publishing practices or demonstrating due diligence. 17

The rapid spread of COVID-19 has placed an unprecedented burden on healthcare systems worldwide, necessitating the 19 development of innovative diagnostic approaches. Deep learning (DL) techniques, particularly convolutional neural networks 20 (CNNs), have shown promise in the automated detection of COVID-19 from medical imaging data. COVIDX-Net, as proposed by 21 Pan et al. [1], is one such framework designed to diagnose COVID-19 from X-ray images with high accuracy. While investigating 22 the methodology and results of a COVIDX-Net, this commentary aims to highlight potential shortcomings and limitations inherent 23 in COVIDX-Net in terms of dataset biases, model generalizability, and validation strategies. This collectively aim to give the 24 scholars in depth understanding of misleading conclusions and challenges that might limit applying COVIDX-Net as diagnostic 25 tools in clinical practice [1-2]. 26

2. Comments on Data

The statement that "the dataset used in the study was provided by Dr. Joseph Cohen and Dr. Adrian Rosebrock" raises 28 valid concerns regarding the transparency and validity of the dataset. Without comprehensive information about the 29 source of the dataset, including details about the corresponding institution, annotators, their affiliations, and expertise 30 in the field of radiology or medical imaging, the reliability and credibility of the data are called into question. The lack 31 of transparency regarding the dataset's origins and the expertise of those involved in its curation raises concerns about 32 potential biases, inconsistencies, or inaccuracies in the annotations and labels. Besides, without information about the 33 institutional review board (IRB) approval or ethical considerations involved in the dataset collection process, it is diffi-34 cult to assess whether the data collection procedures adhere to ethical standards and patient privacy regulations [2]. 35

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Given the critical role of high-quality and well-annotated datasets in training accurate and reliable DL models, it is 37 imperative for researchers to provide detailed documentation regarding the dataset's provenance, annotation 38

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procedures, and quality assurance measures. Without such transparency, the validity and reproducibility of the study's 1 findings may be compromised, underscoring the importance of rigorous data governance and documentation practices 2 in the field of medical imaging research. 3

The authors claimed, "The X-ray images for confirmed COVID-19 disease show a pattern of ground-glass opacification 5 with occasional consolidation in the patchy, peripheral, and bilateral areas [10]." However, upon closer examination of 6 this reference [3], it becomes evident that the study primarily focused on computed tomography (CT) imaging for the 7 diagnosis of COVID-19, rather than X-ray imaging. This discrepancy between the authors' claim and the referenced 8 study is concerning, as it raises questions about the accuracy and validity of the clinical information provided in the 9 paper. While ground-glass opacification and consolidation are indeed characteristic features of COVID-19 pneumonia 10 as observed in CT scans, extrapolating these findings directly to X-ray images without proper validation or evidence 11 may lead to misinterpretations and erroneous clinical decisions. Thus, it is essential for researchers to ensure the accu-12 racy and integrity of clinical information provided in scientific publications by referencing studies that directly investi-13 gate the imaging modality in question. 14

3. Comments on Methods

The absence of a detailed methodology corresponding to the purported "COVIDX-Net" framework raises significant 16 concerns regarding the clarity and coherence of the study's experimental approach. Instead of presenting a distinct 17 framework or methodology tailored specifically for COVID-19 diagnosis from X-ray images, the method section merely 18 outlines a conventional pipeline involving data preparation, model training, and testing using a set of pre-existing DL 19 models, namely VGG19, DenseNet201, InceptionV3, ResNetV2, InceptionResNetV2, Xception, and MobileNetV2. This 20 lack of specificity regarding the development and implementation of the so-called "COVIDX-Net" framework under-21 mines the credibility and interpretability of the study's findings. Without a clear delineation of the unique features or 22 innovations purportedly introduced by the COVIDX-Net framework, it is challenging for readers to discern the novelty 23 or added value of the proposed approach compared to existing methodologies. Besides, the use of pre-trained DL mod-24 els without customization or optimization for the task of COVID-19 diagnosis from X-ray images raises questions about 25 the suitability and efficacy of the chosen models for this specific application. The heterogeneity of the models employed, 26 each with its own architectural nuances and training requirements, further complicates the interpretation of results and 27 limits 28

On the other hand, in the experimental setup, the DL models are trained on a dataset consisting of only 50 X-ray images, 30 is indeed a notable departure from the standard principles of training DL models. In DL, particularly with complex 31 architectures like those mentioned, the general rule of thumb is that larger models require proportionally larger datasets 32 to effectively learn meaningful patterns and avoid overfitting. However, in the case of COVIDX-Net, the disparity be-33 tween the size of the model and the size of the dataset raises concerns about the potential for model overfitting and the 34 generalizability of the results. The scenario where the size of the model is comparable to or even larger than the size of 35 the dataset can lead to several issues. Firstly, the models may simply memorize the training data rather than learning 36 meaningful features that generalize well to unseen data, resulting in poor performance on new X-ray images. Addition-37 ally, the risk of overfitting is heightened, as the models may learn to capture noise or idiosyncrasies specific to the 38 training dataset rather than true characteristics of COVID-19 versus normal X-ray images. Moreover, the lack of diver-39 sity and representativeness in such a small dataset may limit the model's ability to discern subtle differences and vari-40 ations in X-ray images, potentially leading to biased or unreliable predictions. 41

4. Comments on Results

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The learning curves depicted in Figure 3 exhibit significant fluctuations, indicating inconsistency during the learning 1 process and a lack of clear convergence. This observation raises concerns about the stability and reliability of the DL 2 models trained in the study (overfitting). While fluctuations in learning curves are not uncommon, particularly in the 3 early stages of model training, the severity and persistence of these fluctuations in Figure 3 suggest potential issues with 4 model convergence and optimization. It is noteworthy that the authors did not address or discuss these limitations in 5 the paper, nor did they provide justification for the observed fluctuations in the learning curves. This omission is con-6 cerning, as it overlooks a critical aspect of model training and evaluation, which could impact the interpretation and 7 validity of the study's findings. Understanding the factors contributing to the erratic behavior of the learning curves is 8 essential for diagnosing underlying issues in the training process, such as inappropriate learning rates, inadequate reg-9 ularization, or insufficient data preprocessing. Ignoring such basic analysis is not acceptable at all in DL study, espe-10 cially during the urgent case of COVID-19. 11

The assertion by the authors that "Image data augmentation was not used in this study" raises concerns, particularly 13 given the observed limitations in terms of limited data and inconsistent training. Data augmentation is a fundamental 14technique employed in machine learning, especially when dealing with small datasets, to enhance model generalization 15 and mitigate overfitting [4]. Hence, the decision not to utilize data augmentation in the study, despite the evident chal-16 lenges posed by the limited dataset and inconsistent training, seems counterintuitive and may have contributed to the 17 observed issues of overfitting and instability in model performance. Ignoring elementary methods like data augmenta-18 tion, which are widely recognized for their efficacy in addressing data scarcity and enhancing model generalization, 19 raises questions about the rigor and comprehensiveness of the experimental methodology employed in the study. It is 20 essential for researchers to carefully consider and justify the choice of methodologies and techniques used in their stud-21 ies, particularly in the context of machine learning where methodological decisions can significantly impact the validity 22 and reliability of the results. 23

The concerns raised regarding the overall conduct and integrity of the study are indeed significant and merit careful 25 consideration. While the urgency of addressing the COVID-19 pandemic has understandably prompted a surge in re-26 search efforts across various disciplines, it is imperative for researchers to uphold ethical standards and scientific rigor 27 in their publications to ensure the validity and reliability of the findings. The exploitation of the urgency surrounding 28 the COVID-19 pandemic for the purpose of gaining citations without due diligence and responsibility to ethical pub-29 lishing practices is troubling [5]. Such practices not only undermine the integrity of scientific research but also have the 30 potential to mislead the scientific community and impede progress in combating the pandemic. Ethical considerations, 31 transparency, and adherence to scientific standards are paramount in the pursuit of knowledge and the dissemination 32 of research findings, particularly in critical areas such as public health emergencies. Researchers have a responsibility 33 to conduct their studies with integrity, rigorously evaluate their methodologies, and critically assess the implications of 34 their findings. Furthermore, the dissemination of research findings should be accompanied by a commitment to open 35 and transparent communication, allowing for constructive critique, replication, and validation by the scientific commu-36 nity [6]. 37

5. Conclusions

This commentary deliberates the serious comments concerning the paper "COVIDX-Net: A Framework of DL Classifiers 39 to Diagnose COVID-19 in X-Ray Images." In spite of the insistence of addressing the COVID-19 pandemic, it is conclud-40 able that the paper suffer from many failures including the non-transparency of datasets, and the rationality of the 41 methods. Together with the limited transparency and accountability in reporting, it is notable that there is a noteworthy 42 uncertainty about the legitimacy and reliability of the study's findings. This collectively point to case of exploit the 43 urgency of the COVID-19 outbreak to quickly publish work (even preprints) without conforming to public ethical and 44

	hodological standards, which destabilizes the dependability of scientific research misleading the scientific commu-	1
nity		2
Fun	ding	3
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